

STEPHEN F. AUSTIN

STATE UNIVERSITY

Office of the General Counsel

POLICY SUMMARY FORM

Policy Name: Sunstantive Change

Policy Number: 4.10

Is this policy new, being reviewed/revised, or deleted? Review/Revise

Date of last revision, if applicable: 1/28/2020

Unit(s) Responsible for Policy Implementation: Provost and Executive Vice President for Academic Affairs

Purpose of Policy (what does it do): This policy provides requirements and guidelines for the university when substantive changes are made or requested.

Reason for the addition, revision, or deletion (check all that apply):

Scheduled Review Change in law Response to audit finding

Internal Review Other, please explain:

Please complete the appropriate section:

Specific rationale for new policy: N/A

Specific rationale for each substantive revision: SACSCOC procedure changes and other minor edits.

Specific rationale for deletion of policy: N/A

Additional Comments:

Reviewers:

Academic Affairs Policy Committee

Lorenzo Smith, Provost and Executive Vice President for Academic Affairs

Damon Derrick, General Counsel

Substantive Change

Original Implementation: August 26, 2008

Last Revision: January 28, 2020/30, 2023

Stephen F. Austin State University (SFA) is committed to upholding all of the principles and policies of the Texas Higher Education Coordinating Board (THECB) and the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC). The university is also committed to establishing and maintaining procedures to facilitate an effective and timely notification process regarding substantive changes.

As a member of SACSCOC, SFA is responsible for notifying and, when necessary, seeking approval for substantive changes from the Commission in accordance with the SACSCOC substantive change policy. The current policy is located on the SACSCOC website.

Substantive change is defined as a significant modification or expansion of the nature and scope of an accredited institution.

~~Notification is defined as a letter from the university president or designee to the president of the commission summarizing the proposed change. Approval is sought through the submission of a SACSCOC application or prospectus.~~

Procedures

The university is required to notify THECB and SACSCOC of substantive changes and request approval, when required, prior to the initiation of the changes. The SFA SACSCOC liaison, appointed by the Office of the Provost and *Executive* Vice President for Academic Affairs, is responsible for coordinating efforts to identify the need for and creation of notifications *or approvals* ~~letters~~ related to substantive change. The Office of the Provost and *Executive* Vice President for Academic Affairs is responsible for all communication with the appropriate governing body.

Under federal regulations, substantive change includes but is not limited to:

- *Substantially changing the established mission or objectives of an institution or its programs.*
- *Changing the legal status, form of control, or ownership of an institution.*
- *Changing the governance of an institution.*
- *Merging / consolidating two or more institutions or entities.*
- *Acquiring another institution or any program or location of another institution.*
- *Relocating an institution or an off-campus instructional site of an institution (including a branch campus).*
- *Offering courses or programs at a higher or lower degree level than currently authorized.*
- *Changing the way an institution measures student progress, whether in clock hours or credit-hours; semesters, trimesters, or quarters; or time-based or non-*

time-based methods or measures.

- *Adding a program that is a significant departure from the existing programs, or method of delivery, from those offered when the institution was last evaluated by SACSCOC.*
- *Initiating programs by distance education or correspondence courses.*
- *Adding an additional method of delivery to a currently offered program.*
- *Entering into a cooperative academic arrangement.*
- *Entering into a written arrangement under 34 C.F.R. § 668.5 under which an institution or organization not certified to participate in the title IV Higher Education Act (HEA) programs offers less than 25% (notification) or 25-50% (approval) of one or more of the accredited institution's educational programs. An agreement offering more than 50% of one or more of an institution's programs is prohibited by federal regulation.*
- *Substantially increasing or decreasing the number of clock hours or credit hours awarded or competencies demonstrated, or increasing the level of credential awarded, for successful completion of one or more programs.*
- *Adding competency-based education programs.*
- *Adding each competency-based education program by direct assessment.*
- *Adding programs with completion pathways that recognize and accommodate a student's prior or existing knowledge or competency.*
- *Awarding dual or joint academic awards.*
- *Re-opening a previously closed program or off-campus instructional site.*
- *Adding a new off-campus instructional site/additional location including a branch campus.*
- *Adding a permanent location at a site at which an institution is conducting a teach-out program for students of another institution that has ceased operating before all students have completed their program of study.*
- *Closing an institution, a program, a method of delivery, an off-campus instructional site, or a program at an off-campus instructional site.*

- ~~Initiating coursework or programs at a different level than currently approved;~~
- ~~Initiating off-campus sites (including but not limited to Early College High School, dual enrollment programs offered at a high school, and certificate programs);~~
- ~~Expanding programs at current degree level;~~
- ~~Expanding program offerings at previously approved off-campus sites;~~
- ~~Initiating degree completion programs;~~
- ~~Initiating a branch campus;~~
- ~~Initiating distance learning;~~
- ~~Relocating a main or branch campus;~~
- ~~Entering into a contract with an entity not certified to participate in U.S. Department of Education (USDOE) Title IV programs;~~
- ~~Initiating dual or joint degrees;~~
- ~~Initiating a new site;~~
- ~~Initiating a direct assessment competency-based program;~~
- ~~Initiating a merger/consolidation with another institution;~~

- ~~Changing governance, ownership, control, or legal status of an institution;~~
- ~~Acquiring any program or site from another institution;~~
- ~~Adding a permanent location at a site where the institution is conducting a teach-out for students from another institution that is closing;~~
- ~~Initiating a certificate program at a new off-campus site at employer's request and on short notice (previously approved program);~~
- ~~Initiating a certificate program at employer's request and on short notice;~~
- ~~Adding a site under a U.S. military contract for a previously approved program;~~
- ~~Altering significantly the length of a program;~~
- ~~Altering significantly the educational mission of the institution;~~
- ~~Changing from clock hours to credit hours;~~
- ~~Moving an off-campus instructional site;~~
- ~~Initiating programs or courses offered through contractual agreement or consortium; or~~
- ~~Closing a program, approved off-campus site, branch campus, or institution.~~

An institution is required to notify or secure SACSCOC approval prior to implementing a substantive change. An institution is responsible for maintaining compliance at all times with Standard 14.2 (Substantive change) of the Principles of Accreditation and with the Substantive Change Policy and Procedures and related policies.

When planning to make changes that may require substantive change notification ~~or~~ approval, proposal sponsors must consult with the SFA SACSCOC liaison to determine the appropriate procedure for the proposed change.

The SFA curriculum review cycle is the process used to identify substantive changes related to the addition, deletion, or modification of courses and degree programs. In addition, all proposals for distance education, off-campus sites, and certificate program requests will follow the curriculum review process. All proposals must follow and document adherence to curriculum review and approval policies and processes described in the university policy on Curriculum Reviews, Modifications, and Approvals (5.7). *When applicable,* ~~t~~The SFA SACSCOC liaison will provide the information necessary to prepare a prospectus in accordance with the requirements outlined in the SACSCOC Substantive Change Policy and THECB substantive change guidelines. The SACSCOC prospectus and/or THECB proposal must accompany the Curriculum Change Request.

At least once each academic year, the SACSCOC liaison will provide information to the academic deans, division vice presidents, and academic unit heads regarding substantive change policies and procedures. During this session, the deans, division vice presidents, and academic unit heads will identify potential substantive changes.

All substantive change education and review activities must be coordinated to allow ample time to satisfy the time frame for notification and/or approval as set by THECB and/or SACSCOC. SFA will adhere to the reporting times as specified in THECB guidelines and in the Substantive Change for SACSCOC Accredited Institutions policy statement.

~~The Office of the Provost and Vice President for Academic Affairs is responsible for conducting an ongoing review of curricular revisions to identify changes that may be substantive in nature and may require reporting or prior approval by THECB, SACSCOC, and/or accrediting body appropriate to the discipline. The provost and vice president for academic affairs will determine whether changes are substantive and will initiate appropriate reporting and approval processes in compliance with THECB and SACSCOC policies and procedures.~~

Cross Reference: Curriculum Reviews, Modifications and Approvals (5.7); SACSCOC Substantive Change Policy; THECB guidelines; *34 CFR § 668.5*

Responsible for Implementation: Provost and *Executive* Vice President for Academic Affairs

Contact for Revision: Provost and *Executive* Vice President for Academic Affairs

Forms: Forms for program revision, course proposals, substantive change, and distance education are available at the Academic Affairs website <http://www.sfasu.edu/acadaffairs/>.

Board Committee Assignment: Academic and Student Affairs

Revision History: January 28, 2020
January 31, 2017
October 18, 2011