

PI/PD/Key Personnel Financial Conflict of Interest and Nepotism Disclosure (FCOI) and Certification Form for Sponsored Projects - *duplicate as needed*

Proposal: Completed by all PI/PD/Co-PI/Co-PD/senior/key personnel involved in a proposal. Routed with the Proposal Clearance Form. **Post award:** Used to disclose changes. Also, any additional or new PI/PD/Co-PI/Co-PDs complete this form and submit with a Revision Clearance Form.

Title of Proposal			
Sponsor			
Faculty/Staff name			
Title/Position		Department	
Phone ext.		Email	

Type of disclosure: Initial/proposal stage Change in status Change in PI/PD/Co-PI/Co-PD (new or additional). Route with RCF.

Disclosures and Certifications My signature below certifies that:	Yes/ No
1. I have read and understood the following university policies: 17.22 Purchasing Ethics and Confidentiality, 8.2 Financial Conflicts of Interest in Sponsored Activities, and 11.16 Nepotism.	<input style="width: 50px; height: 20px;" type="text"/>
2. I am disclosing a significant, related financial interest for this project and have completed and attached the separate Sponsored Programs Conflict of Interest and Nepotism Management Form.	<input style="width: 50px; height: 20px;" type="text"/>
3. I am acknowledging that the project may result in royalties or other remuneration paid by the university, exempt from COI disclosure, including intellectual property rights assigned to SFA and agreements to share in royalties to these rights.	<input style="width: 50px; height: 20px;" type="text"/>
4. I am disclosing a familial relationship involved in the conduct of this project. This can include a relative receiving salary, travel funds, supplies, or other benefits. I have completed and attached the separate Sponsored Programs Conflict of Interest and Nepotism Management form.	<input style="width: 50px; height: 20px;" type="text"/>
5. I agree to complete training as required by the sponsor (FCOI, RCR, etc).	<input style="width: 50px; height: 20px;" type="text"/>
6. I am in compliance with all federal and university requirements, including but not limited to drug-free workplace, equal employment opportunities, Title IX, Whistle blower protection, etc.	<input style="width: 50px; height: 20px;" type="text"/>
7. I am presently delinquent on any federal debt, including student loans.	<input style="width: 50px; height: 20px;" type="text"/>
8. I am presently debarred, suspended, or proposed for debarment, declared or ineligible or voluntarily excluded from current transactions by any federal/state department or agency.	<input style="width: 50px; height: 20px;" type="text"/>
9. Within the three-year period preceding this application, I have had one or more public transactions (federal, state, or local) terminated for cause or default.	<input style="width: 50px; height: 20px;" type="text"/>
10. Within the three-year period preceding this application, I am delinquent in submitting final project reports to sponsors for previous awards.	<input style="width: 50px; height: 20px;" type="text"/>
11. To the best of my knowledge, federal funds have not been used nor will be used to influence or attempt to influence members of Congress in the granting of this award.	<input style="width: 50px; height: 20px;" type="text"/>
12. I agree to provide the required reports to the sponsor if project is awarded as a result of this application.	<input style="width: 50px; height: 20px;" type="text"/>
13. I agree to notify the sponsored programs office if any of the circumstances on this certification page change during the term of the award by completing a new disclosure form .	<input style="width: 50px; height: 20px;" type="text"/>

My signature certifies that to the best of my knowledge, the information submitted within this proposal is true, complete, and accurate. I understand that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. If awarded, I agree to assume responsibility for the scientific and programmatic conduct of this project and for ensuring project compliance with sponsor and university requirements, policies, and procedures.

Signature _____

Date _____